

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: GUNE117293

Application No.: 09/935,557

Group Art Unit: 1645

Filed:

August 22, 2001

E. Gunther

Title:

METHODS FOR SCREENING AND IDENTIFYING

PHARMACEUTICAL AGENTS

INFORMATION DISCLOSURE STATEMENT

Seattle, Washington 98101 December 6, 2001

TO THE COMMISSIONER FOR PATENTS:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- 1. X Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- Pursuant to 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of the national application (other than a CPA), within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, before the mailing date of a first Office Action on the merits, or before the mailing date of a first Office Action after the filing of an RCE.

Respectfully submitted,

CHRISTENSEN O'CONNOR JOHNSON KINDNESSPLLC

George E. Renzoni, Ph.D. Registration No. 37,919

Direct Dial No. 206.695.1755

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date

December 4,2001

Stephanie R. Ivenser

LAW OFFICES OF CHRISTENSEN O'CONNOR JOHNSON KINDNESSPLLC 1420 Fifth Avenue Suite 2800 Seattle, Washington 98101 206.682.8100



INFORMATION CITED BY APPLICANT THAT MAY BE MATERIAL TO THE PROSECUTION OF THE SUBJECT APPLICATION

Applicant:

E. Gunther

Attorney Docket No. GUNE117293

Application No.: 09/935,557

Group Art Unit: 1645

Filed:

August 22, 2001

Title:

METHODS FOR SCREENING AND IDENTIFYING

PHARMACEUTICAL AGENTS

U.S. PATENT DOCUMENTS

| *Examiner Ci Initials N | | Kind O. Code | Date (mm/dd/yyyy) | Name |
|----------------------------|-------------|--------------|-------------------|------------------|
| LAC U | 1 5,965,352 | | 10/12/1999 | Stoughton et al. |
| LAC U | 2 6,110,711 | | 08/29/2000 | Serafini et al. |
| _LAC_U | 3 6,165,709 | | 12/26/2000 | Friend et al. |

FOREIGN PATENT DOCUMENTS

None

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

| | *Examiner | Cite | |
|---|-----------|-------|--|
| | Initial | No. | Document Information |
| | IAC | O1 | Hughes, T.R., et al., "Functional Discovery via a Compendium of Expression Profiles," <i>Cell 102</i> :109-126, July 7, 2000. |
| • | -LAC | O2 | Peltonen, L. and V.A. McKusick, "Genomics and Medicine: Dissecting Human Disease in the Postgenomic Era," <i>Science 291</i> (5507):1224-1228, Feb. 16, 2001. |
| | _ LKC | О3 | Gould Rothberg, B.E., et al., "Integrating Expression-Based Drug Response and SNP-Based Pharmacogenetic Strategies Into a Single Comprehensive Pharmacogenomics Program," <i>Drug Development Research</i> 49:54-64, 2000. |
| | Exan | niner | Date Considered |
| | | To | if-(lon, Ph.D. April 12, 2002 |

*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

> LAW OFFICES OF CHRISTENSEN O'CONNOR JOHNSON KINDNESSPILIC 1420 Fifth Avenue Suite 2800 Seattle, Washington 98101 206.682.8100



INFORMATION CITED BY APPLICANT THAT MAY BE MATERIAL TO THE PROSECUTION OF THE SUBJECT APPLICATION

Applicant:

E. Gunther

Attorney Docket No. GUNE117293

Application No.: 09/935,557

Group Art Unit: 1645

Filed:

August 22, 2001

Title:

METHODS FOR SCREENING AND IDENTIFYING

PHARMACEUTICAL AGENTS

U.S. PATENT DOCUMENTS

| *Examiner | r Cite No. | Document No. | Kind Code | Date (mm/dd/yyyy) | Name | |
|-----------|---------------|--------------|--------------|----------------------|------------------|--|
| LAC | _ U1 | 5,965,352 | - | 10/12/1999 | Stoughton et al. | |
| LHC | _ U2 | 6,110,711 | | 08/29/2000 | Serafini et al. | |
| LK. | _ U3 | 6,165,709 | | 12/26/2000 | Friend et al. | |

FOREIGN PATENT DOCUMENTS

None

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

| *Examiner Initial | Cite No. | Document Information |
|----------------------|-------------|--|
| ye | O1 | Hughes, T.R., et al., "Functional Discovery via a Compendium of Expression Profiles," <i>Cell 102</i> :109-126, July 7, 2000. |
| UC. | O2 | Peltonen, L. and V.A. McKusick, "Genomics and Medicine: Dissecting Human Disease in the Postgenomic Era," <i>Science 291</i> (5507):1224-1228, Feb. 16, 2001. |
| <u>LK</u> | О3 | Gould Rothberg, B.E., et al., "Integrating Expression-Based Drug Response and SNP-Based Pharmacogenetic Strategies Into a Single Comprehensive Pharmacogenomics Program," <i>Drug Development Research</i> 49:54-64, 2000. |
| Exan | niner | Date Considered |
| Low | A | Clon PLD. April 12, 2002 |

*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

> LAW OFFICES OF CHRISTENSEN O'CONNOR JOHNSON KINDNESSPILC 1420 Fifth Avenue Suite 2800 Seattle, Washington 98101 206.682.8100

